

Published by Authority EXTRAORDINARY ISSUE

Agartala, Saturday, December 5, 2020 A. D., Agrahayana 14, 1942 S. E.

PART--I-- Orders and Notifications by the Government of Tripura, The High Court, Government Treasury etc.

GOVERNMENT OF TRIPURA DEPARTMENT OF INDUSTRIES & COMMERCE SHILPA UDYOG BHAWAN, KHEJURBAGAN.

F.No. DI/TIDA/4(35)/2020/20,466

Dated, Agartala, the 3rd December, 2020.

NOTIFICATION

WHEREAS, Section 28 of the Tripura Industries (Facilitation) Act, 2018 (Act No.5 of 2019), empowers the State Government to make Rules to give effect to the provision of the Act and for matters connected therewith or incidental thereto;

AND WHEREAS, according to Section 28(a) of the Tripura Industries (Facilitation) Act, 2018 (Act No.5 of 2019), draft rules under the Act were published in the Tripura Gazette on 09th October, 2020, inviting objections and suggestions from all persons likely to be affected thereby within a period of 30 days from the date of publication of the notification;

AND WHEREAS, the objections and suggestions received within the specified time have been duly considered and the draft rules have accordingly been finalized;

NOW THEREFORE, having regard to that and considering all aspects, the State Government publishes the following Rules namely "The Tripura Industries (Facilitation) (Amendment) Rules, 2020".

The Tripura Industries (Facilitation) (Amendment) Rules, 2020

1. Short title, extent and commencement:

- These may be called "The Tripura Industries (Facilitation) (Amendment) Rules, 2020" and also to be known as Single Window Approval by All Government Agencies in Tripura (SWAAGAT) (Amendment) Rules, 2020
- (2) They shall extend to the whole of the State of Tripura.
- (3) They shall come into force on and from the date of their final publication in the Tripura Gazette.

2. Amendment of Rule 7:

- (1) In sub-rule (1) of rule 7 of the principal Rules, the words 'SWAAGAT Approval Certificate' shall be substituted with the words "desired approvals"
- (2) In sub-rule (3) of rule 7 of the principal Rules, the expression '(either manually or online)' shall be substituted with the words "(online)"
- (3) The sub-rule (6) of rule 7 of the principal Rules is substituted as follows:-"(6) The Combined Application Form filled and submitted by the applicant should be scrutinized and accepted for issue of relevant clearances by the concerned Department/organization."
- (4) The sub-rule (7) of rule 7 of the principal Rules is substituted as follows:-"(7) The authorized representative of the nodal agency/concerned Department shall satisfy himself/herself that:
 - (i) The application is in complete shape;
 - (ii) All relevant and prescribed documents have been attached online
 - (iii) The prescribed fees receipts have been paid online;
 - (iv) Filled up Self-Certification format has been submitted online:"

- (5) The sub-rule (8) of rule 7 of the Principal Rules is substituted as follows:- "(8) The following procedure shall be followed for Processing and Monitoring of the Combined Application Form:
 - The particular of the application for which the acknowledgement is issued will be maintained online.
 - (ii) The submitted Combined application form along with enclosures will be made available in online mode to the corresponding departments/organization related to which license/clearance/NOCs/no-objections etc has been sought by the applicant.
 - (iii) The competent authority of corresponding departments/organization shall process the application and communicate the decision (approval/rejection/additional information/query) in online mode within the prescribed time limit as mentioned in the Schedule-I
 - (iv) The competent authority may ask for additional information from the applicant, only once within seven days from receipt of the application and before the expiry of the time limit.
 - (v) The new time limit will be applicable from the date the additional information has been furnished.
 - (vi) The competent authorities will provide their approvals within the time limit in online mode.
 - (vii) The State Nodal Agency shall ensure that there are no delays at any level. Cases involving delays shall be placed before High Level Single Window Clearance Committee once in six months."
- Amendment of Rule 8: Rule 8 of the principal rules shall be substituted as follows —

"8. Time limit for issue of clearances:

In accordance of Section 18 of the said Act the State Government hereby specifies the maximum time limit of thirty days for issuance of license/clearance/NOCs/no-objections etc. (Establishment and Operation) from the date of issue of acknowledgement (online). In order to facilitate the investors by providing investor friendly environment, mandate time-bound delivery of all services as per communication received from Department for Promotion of Industries & Internal Trade (DPIIT) on implementation of State Reform Action Plan- 2020 wherein new services are recommended for inclusion with timelines. The revised list of services with timelines are specified under Schedule-I."

4. Amendment of Rule 9: The Rule 9 of the principal rules shall be substituted as follows -

"9. User Charges/Fees:

In exercise of the powers conferred by Section 17 (3) of the said Act, the applicants shall pay user charges as processing fees in such manner and at such rate as specified in Schedule-II of the amended Rule in addition to the existing fees/charges prescribed for issuance of license/clearance/NOCs/noobjections etc. by each Department/Organization. These charges are subject to revision by the Government from time to time. The user charges/fees etc. would be collected through the online SWAAGAT system integrated with e-GRAS system of Finance Department, Government of Tripura and will get deposited into respective State Governments Account/Organizations accounts through SBI e-pay. The fees/charges collected for issuance of license/clearance/NOCs/no-objections etc. of Department/Organization will be transferred online to Department/Organization. The user charges collected as processing fees will be transferred online to TIDC Ltd. and will be used for meeting all such expenses which are required to implement the Act

including, inter-alia, recurring expenditure like purchase of consumables, travel cost, conducting prescrutiny meetings, District and State level meetings, maintaining the online SWAAGAT system and other incidental expenses."

5. Amendment of Rule 11:

In rule 11 of the Principal Rules, the following provision shall be inserted "provided that, the following approvals are exempted for issuance of Deemed Approval:

| SI | Approval | Department/Organization |
|----|---|-------------------------|
| 1. | Temporary Electricity Connection for Industrial Unit | TSECL |
| 2. | Permanent Electricity Connection for Industrial Unit | TSECL |
| 3. | Water Connection for Industrial Unit | PWD(DWS)/TIDC Ltd |
| 4. | Incentives under State Industrial/Sectoral Policy | Industries & Commerce " |

6. Amendment of Rule 13:

The sub-rule (2) of rule 13 of the Principal Rules is substituted with the provisions:-: "(2) Every appeal shall be made in Schedule-III and shall be accompanied by a fee of Rupees five hundred payable to the revenue head of the Government. The application for appeal shall be presented either in person or by an agent duly authorized."

7. Amendment of Rule 14:

The Sub-rule (i) of rule 14 of the Principal Rules will be substituted with the following:-"(i) There will be a Central Inspection System for conduct of compliance inspections by two or more authorities jointly from the office of the Labour Commissioner, Chief Inspector of Factories and Boilers, Tripura State Pollution Control Board, Municipal Authorities, Controller of Legal Metrology and such other authorities as the State Government by notification specify once in a year hereinafter referred to as participating departments."

- (ii) The Sub-rule (vi) of rule 14 of the Principal Rules is substituted as follows: "(vi) The Department of Industries & Commerce, Labour Directorate, Factories & Boiler Organization, Tripura State Pollution Control Board (TSPCB), Municipal authorities, Controller of Legal metrology and such other authorities as the State Government by notification specify shall take further necessary action accordingly."
- (iii) After Sub-rule (viii) of rule 14 of the Principal Rules the following new sub-rules shall be inserted: "(ix) The inspections conducted (except in case of complaint-based inspections) shall be limited to the checklist:
 - (x) The inspection report shall be submitted online within 48 hours to Centralized Inspection system."

8. Insertion of new Rule- 15 & 16:-

In the principal rules, after Rule 14 the following new rules shall be inserted

"15. Single Window Clearance System

An online portal in the name of "Single Window Approval by All Government Agencies in Tripura (SWAAGAT)" functioning as part of the Single Window Clearance System will have the following provisions and mandate:

- (a) All applications for setting-up of industrial or service sector undertakings in the State of Tripura including incentive application which are provisioned in the Single Window Clearance System would be submitted in the online portal with no requirement for submissions of a hard copy and necessary action will be taken up by respective Departments/Organizations for grant or time bound issue of no objection certificates, allotments, consents, approvals, permissions, registrations, enrolments, licenses, renewals, incentives or the likes online in the portal.
- (b) All queries regarding the application from the investor will be recorded and addressed within a timeline of 15 days from the date of queries.
- (c) Queries/clarifications related to application are sought in one go by respective Departments/Organizations and within 7 days of receipt of the application
- (d) Inclusion of additional new regulation or license in the online wizard/system within 30 day.
- (e) The list of services to be made available under the portal with timelines are specified under Schedule-I

Provisions and mandate of Single Window Clearance System – (1) The Single Window Clearance System shall have the following provisions with the following mandate —

- the provision of online wizard/system with addition of new regulation or license in the online wizard/system within 30 days and implementation thereof;
- (b) the provision of online submission of application through Combined Application Form (CAF) generated online by attaching the relevant enclosures, certificates, attachments etc and implementation thereof;
- (c) the provision of online payment merging all fees, applicable under various Acts, rules, policies and schemes, for which an application is submitted in Combined Application Form (CAF), into a single transaction and implementation thereof;
- (d) the provision of electronic transfer of the fees and application by attaching the relevant enclosures, certificates, attachments etc. to respective Government agencies for processing and implementation thereof;
- (e) the provision of online approval, tracking of real time status of application and delivery of services (downloading the issued certificate online) and implementation thereof;
- (f) the provision of sending out alert through short message service (SMS) or e-mail notification to the applicant including respective users of Department/Organization or authority concerned as and when the application is submitted and/or query is raised and/or application is approved/rejected including any inspection scheduled and implementation thereof;
- (g) the provision of sending automated short message service (SMS) or e-mail notification to the respective users of Department/Organization or authority concerned with status information on the applications in which clearances are likely to breach the stipulated time and implementation thereof;
- the provision of third party verification and implementation thereof;

- the provision of auto renewal of license/registration wherever specified for services under Schedule –I and implementation thereof;
- (j) the provision of a Central Inspection System (CIS) for conduct of compliance inspections, allocation of inspectors is done centrally, the same inspector is not assigned to the same business establishment in subsequent years, inspection report uploading, scheduling of inspections, selection of establishments for inspection using computerized risk assessment, allocation of inspectors and differentiate compliance inspection requirements based on risk profile, prior inspection notice is provided to the business establishment and implementation thereof;
- the provision of allowing the users to view and download submitted inspection reports of at least past three years and implementation thereof;
- the provision of display of proposed regulation or license on Legal Basis, its necessity, business-friendliness and implementation thereof;
- (m) the provision to publish draft business regulation online and invite public comments/ feedback on the same prior to enactment with period of display to be at least 30 days and implementation thereof.
- (n) the provision to publish the comments/feedback received on the draft business regulation and how they were addressed in the final regulation and implementation thereof.
- (2) The Single Window Clearance System may, for purpose of the effective functioning of the system, include any other provision not mentioned in sub-section (1), subject to approval of the State Government.

16. Right to Clearances and Grievance Redressal Mechanism:

In exercise of the powers conferred by Section 23A (1) of the Tripura Industries (Facilitation) (Amendment) Act, 2020 (Act No. 10 of 2020), the Government hereby specifies the following mechanism:

The applicant has the right to seek queries, ask for approval or seek explanation for the delay in approvals being sought through the Single Window Clearance System if they are not issued within time limit as prescribed in the rules and where clearances are not pending with agencies other than state government. It will be incumbent upon the respective departments/organizations officer concerned to answer to queries, delays and HoD of the concerned Department shall be accountable for timely processing.

Implementation:

- (1) Queries, if any sought by the applicant through online to be addressed within a timeline of 7 days from the date of raising the queries by the concerned department/organization in online mode.
- (2) Delay, if any, can be pointed out by the industry applicant or has to be initiated suomoto by the concerned Head of Department (HOD) whenever there is a delay within his /her department from the time limit as prescribed.
- (3) Any aggrieved applicant shall submit a grievance through online. Any delay in granting licence / approval /clearance as per timelines can also be Suo-moto taken up by the Nodal agency as a grievance.
- (4) On receipt of the grievance from the nodal agency / applicant (including online), the same shall be forwarded to the concerned HoD (including online) and the HoD shall scrutinize the details and take views of the Officer/official who is accountable and take into consideration the reasons whether the delay has been caused willing fully. The action taken is to be communicated to the aggrieved applicant duly marking a copy to the Nodal Agency (including online) within 7 working days. In case of non-redressal of any grievance beyond 7 working days, fine will be levied against Concerned Officer/official by HOD duly informing the nodal agency.

- (5) The penalty so levied will be Rs.1000/- (Rupees one thousand only) for each day of such delay till approval is accorded or reason for rejection or delay in according approval is furnished. However, the total amount of such penalty shall not exceed Rs.25,000/- (Rupees Twenty Five Thousand only) in any particular matter.
- (6) The amount of money, so collected as penalty, shall be credited to the consolidated fund of the state by the HOD."

(BY ORDER OF THE GOVERNOR OF TRIPURA)

Special Secretary, Industries & Commerce

Schedule- I (See amendment rule section 3)

TIMEFRAME

| 1 | CFE – Consent | for Establis | hment) (CFO - | Consent for | Operation) | |
|---|---------------|--------------|---------------|---------------------------------|------------|--|
| | | | | | | |

| SL | Service | Department | Stage | Timeline (Working days) |
|-----|--|--|----------------------------|---|
| 1. | Incentives under State Industrial/Sectoral Policy | Industries & Commerce | Post CFO | Eligibility certificate — 15 days Approval (Upto SLC/DLC) — 30 days Disbursement — 30 days from issuance of fund sanction letter |
| 2. | Registration of Partnership Firms | Industries & Commerce | Special | 7 days |
| 3. | Allotment of land in Industrial Area | TIDC Ltd. | Special, All Time | 14 days |
| 4. | Water Connection for Industrial Unit within Industrial Areas | TIDC Ltd. | Special, All Time | 10 days |
| 5. | Change in Land use/conversion of land | Land Records & Settlement, Revenue Department | Any Time | 30 days |
| 6. | Consent to Establish under the Air & Water (Prevention and Control of Pollution) Act, 1974 | Pollution Control Board | CFE | 21 days |
| 7. | Consent to Operate/Renewal under the Air & Water Act (Prevention and Control of Pollution) Act, 1981 | Pollution Control Board | CFO & Renewal | Green Category – 10 days Orange Category – 15 days Red Category – 21 days Renewal – 7 days |
| 8. | Authorization/ Renewal under the Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016 | Pollution Control Board | Authorization & Renewal | Authorization- 21 days Renewal – 21 days |
| 9. | Registration/ Renewal under The E-waste (Management and Handling) Rules, 2011 (under Chapter III) (effective from May 2012) | Pollution Control Board | CFE/CFO & Renewal | Registration - 21 days Renewal – 21 days. |
| 10. | Registration and renewal under Plastic Waste (Management and Handling) Rules, 2011 | Pollution Control Board | CFE/CFO & Renewal | Registration - 21 days Renewal- 21 days |
| 11. | Registration and Renewal of license under The Factories Act, 1948 | Factories & Boilers Organization | CFO & Renewal | Registration - 30 days Renewal- Auto on payment of fees |
| 12. | Approval of plan and permission to construct/extend/or take into use any building as a factory under the Factories Act, 1948 | Factories & Boilers Organization | CFE | 21 days |
| 13. | Registration and Renewal of Boilers under The Boilers Act, 1923 | Factories & Boilers Organization | All Time & Renewal | Registration - 30 days Renewal- 7 days |

| 14. | Registration and Renewal of Boilers Manufactures under The Boilers Act, 1923 | Factories & Boilers Organization | All Time & Renewal | Registration - 30 days Renewal- 30 days | |
|-----|---|--|--|---|--|
| 15. | Clearance Certificate of factories under The Factories Act, 1948 | Factories & Boilers Organization | CFE | 21 days | |
| 16. | IBR Erector IBR Certificate endorsement under The Boilers Act, 1923 | Factories & Boilers Organization | All Time 15 days | | |
| 17. | IBR Welder Certificate endorsement under The Boilers Act, 1923 | Factories & Boilers Organization | All Time | 7 days | |
| 18. | Steam Pipelines Erection Permission under The Boilers Act, 1923 | Factories & Boilers Organization | All Time | 7 days | |
| 19. | License for contractors under provision of The Contracts Labour (Regulation and Abolition) Act, 1970 | Labour Department | CFE | License- 30 days Renewal- Auto on payment of fees | |
| 20. | Registration under The Shops and Establishment Act (including 365 days license) | Labour Department | Special & Renewal | Registration - 30 days Renewal- Auto on payment of fees | |
| 21. | Registration/Renewal of principal employer's establishment under provision of The Contracts Labour (Regulation and Abolition) Act, 1970 | Labour Department | CFE & Renewal | Registration - 30 days Renewal – Not required (Issued for one time) | |
| 22. | Registration/Renewal under The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act, 1996 | Labour Department | CFE & Renewal | Registration - 30 days Renewal – Not required (issued for one time) | |
| 23. | 3. Registration/Renewal of establishment under the Inter State Migrant Workmen (RE&CS) Act, 1979 Labour Department Renewal | | , | Registration - 30 days Renewal – Not required (issued for one time) | |
| 24. | Registration and Renewal under Motor Transport Workers Act | Labour Department | Special & Registration - 30 Renewal Renewal- 30 day | | |
| 25. | Licence & Renewal under Beedi & Cigar | Labour Department | CFE & Renewal | Registration - 30 days Renewal- 30 days | |
| 26. | Registration under Plantation Labour Act | Labour Department | CFE & Renewal | Registration - 30 days Renewal - 30 days | |
| 27. | Temporary Electricity Connection for Industrial Unit | Tripura State Electricity Corporation Limited | CFE/CFO | Feasibility: To days where RoW not required Gonnection - 7 days | |

| 28. | Permanent Electricity Connection for Industrial Unit | Tripura State Electricity Corporation Limited | CFE/CFO | Feasibility: 7 days where RoW not required 15 days where RoW required Connection – 7 days | |
|-----|--|--|----------------------|--|--|
| 29. | Water Connection for Industrial Unit outside Industrial Areas | PWD (DWS) | CFE/ Any time | Feasibility: 14 days Connection – 14 days | |
| 30. | Enrollment under Profession Tax | Taxes Department | Any Time | 30 days | |
| 31. | Registration under Profession Tax | Taxes Department | Any Time | 30 days | |
| 32. | License/Renewal under State Excise for Liquor manufacturing unit | Excise Department | CFE/CFO & Renewal | Excise Lol – 25 days Approval – 25 days Issue – 5 days Renewal – 30 days | |
| 33. | State Excise - Label Registration & Renewal | Excise Department | Special & Renewal | Registration - 21 days Renewal - 21 days | |
| 34. | State Excise - Brand Registration & Renewal | Excise Department | Special & Renewal | Registration - 21 days Renewal - 21 days | |
| 35. | Import and export permit of Spirit and Indian-made foreign liquor (IMFL) | Excise Department | Special | 10 days | |
| 36. | Trade License | Urban Development | Special | Registration - 7 days Renewal- Auto on payment of fees | |
| 37. | Construction Permit Approval | Urban Development | CFE | 28 days | |
| 38. | Signage License for advertisement (registration and Renewal) | Urban Development | Special | 7 days | |
| 39. | Retail Drug License (Pharmacy) | Drug Controller | CFO & Renewal | License - 30 days Renewal – Auto on payment of license retention fee | |
| 40. | Wholesale Drug License | Drug Controller | CFO & Renewal | License - 30 days Renewal - Auto on payment of license retention fee | |
| 41. | Drug Manufacturing License (registration and renewal) | Drug Controller | CFO & Renewal | License - 30 days Renewal - Auto on payment of license retention fee | |
| 42. | Medical Device Manufacturing License (registration and renewal) | Drug Controller | CFO & Renewal | License - 30 days Renewal – 30 days | |

| 43. | Registration/license under the Legal Metrology Act, 2009 | Legal Metrology | CFO (within 90 days from the date of commencement of operation for registration of packer) & Renewal | Registration/license - 21 days Registration renewal – Not required License Renewal – Auto on payment of prescribed fee. |
|-----|--|---|--|---|
| 44. | Fire License (Registration and Renewal) | Fire Service | CFE, CFO & Provisional durin - 14 days Occupancy durin 21 days Renewal - 14 day | |
| 45. | Approval of drawing plan and Electrical Clearance of equipment's for charging | Electrical Inspectorate | CFE/CFO | CFE- 10 days CFO- 18 days |
| 46. | Approval for DG set installation | Electrical Inspectorate | Special | 18 days |
| 47. | Mobile Tower Approval | District Administration | Special | 28 days |
| 48. | State Cinema Regulations Rules (registration and Renewal) | District Administration | Special | 30 days |
| 49. | Movie Shooting permission for State Protected Monument (registration and Renewal) | District Administration | Special 30 days | |
| 50. | Movie Shooting permission from District Collector | District Administration | Special | 30 days |
| 51. | Movie Shooting permission (Police and Traffic) | Home Department | Special | 30 days |
| 52. | Registration of Societies | Co-operation Department | Pre CFE | 30 days |
| 53. | License for wood based industry | Forest Department | orest CEE 30 days | |
| 54. | Application for Non-exempted Tree Felling | Forest Department | Any Time | 30 days |
| 55. | Central Inspection Framework | Labour, Factories & Boilers, Pollution Control Board, Legal Metrology | Post CFE | As per requirement |

Schedule-II (See amendment Rule section 4)

| | USER CHARGES | | | |
|-------|--|---------------|-----------|--|
| S.No. | Project Cost (Land + Building + Plant & M/c.) | Processing Fe | Fees(Rs.) | |
| | | Establishment | Operation | |
| 1 | Nil to Rs.25 Lakhs | 500 | 500 | |
| 2 | Above Rs.25 Lakhs and upto Rs.1.00 Crore | 2000 | 2000 | |
| 3 | Above Rs.1.00 Crore and upto Rs.5.00 Crore | 5000 | 5000 | |
| 4 | Above Rs.5.00 Crore and upto Rs.10.00 Crore | 7500 | 7500 | |
| 5 | Above Rs.10.00 Crore and upto Rs.25.00 Crore | 10000 | 10000 | |
| 6 | Above Rs.25.00 Crore and upto Rs.50.00 Crore | 15000 | 15000 | |
| 7 | Above Rs.50.00 Crore and upto Rs.100.00 Crore | 20000 | 20000 | |
| 8 | Rs.100.00 Crore and above | 25000 | 25000 | |

Note:

 Establishment means clearances sought by the applicant as required for establishment of an industrial unit as per Schedule-I of principal Rule.

Operation means clearances sought by the applicant as required for making operational an industrial unit as per Schedule-II of principal Rule.

Schedule-III (See amendment Rule 6)

<u>To</u>

Form of Appeal

| and the first of the second | |
|-----------------------------|---|
| 1) | Name & Address of the Unit |
| 2) | Location of the Unit |
| 3) | Line of Activity |
| 4) | Project Cost (in lakhs) |
| 5) | Employment |
| 6) | Application No./ID |
| 7) | Particulars of Clearance rejected by the Competent Authority |
| 8) | Reasons for rejection/Modification suggested, if any by Competent Authority |
| 9) | Details of Fees paid for appeal |
| 10) | Brief Details of appeal |

| Place: | |
|--------|-----------------------|
| Date: | Signature |
| | Name of the applicant |
| | ContactNo. |